

United Nations Development Programme – INDIA
CPAP 2013-17
Annual Work Plan 2016 (January – March)

Project Title: Institutional Strengthening Project (ISP)
Implementing Partner: Ozone Cell, Ministry of Environment, Forest and Climate Change (MoEF&CC), Government of India

UNDP Strategic Plan Outcome: Outcome 1: Growth and development are inclusive and sustainable, incorporating productive capacities that create employment and livelihoods for the poor and excluded

UNDP Strategic Plan Output(s): Output 1.3. Solutions developed at national and sub-national levels for sustainable management of natural resources, ecosystem services, chemicals and waste

UNDP Strategic Plan Indicators: Number of new partnership mechanisms with funding for sustainable management solutions of natural resources, ecosystem services, chemicals and waste at national and/or sub-national level ,disaggregated by partnership type

UNDAF / CPAP Outcome: Outcome 6: Sustainable Development. Government, industry and other relevant stakeholders actively promote more environmentally sustainable development and resilience of communities is enhanced in the face of challenges of Climate Change, Disaster Risk and natural resource depletion

PROJECT DESCRIPTION (NOT MORE THAN 1/2 PAGE)

A. State the specific development challenge or gap that this AWP is addressing.
 The Montreal Protocol on substances that deplete the Ozone Layer, under its financial mechanism, the Multilateral Fund (MLF), through its Executive Committee (Ex-Com), provides financial support to countries operating under paragraph 1 of Article 5 of the Protocol for building capacity at national level towards the implementation of the Montreal Protocol and its Ozone Depleting Substances (ODS) phase out activities. There is sufficient evidence that achievement of success including of compliance targets relating to ODSs phase-out schedules could not have been attained without the institutional strengthening (IS) projects. Although it is not possible to quantify the share of the IS projects in achieving the Montreal Protocol targets since such results are determined by several factors, activities, projects and stakeholders and their complex interrelationships, the IS projects in most countries provided the extra leverage needed by strengthening the national ozone units (NOUs) as focal points for mobilizing local stakeholders, initiating and following-up on legislation and ratifications, and coordinating the preparation and implementation of phase-out projects and plans with implementing agencies (IAs) and bilateral agencies

B. Select one or more of the below strategies for addressing the above mentioned challenge/gap and describe in the context of this AWP:

- ✓ Changes in attitudes and access to decision making through awareness raising
- ✓ Changes in policies, and legislation through support to national assessment, policy making
- ✓ Changes in the lives of individuals and communities through implementation for inclusive development

C. List the possible improvements in the capacities of institutions, individuals and systems that will occur as a result of this AWP.
 Through this IS project, an effective institutional framework including appropriate monitoring mechanism has been established through which concerned stakeholders are associated in the ODS phase out process at both the planning and implementation stage.

D. List the gender issues in this AWP and specific ways in which they will be addressed.
 Since the IS project is aimed at building capacity at national level towards the implementation of the Montreal Protocol and its ODS phase out activities, gender issues need not be specifically addressed.

E. List the South-South cooperation opportunities in this AWP and specific ways in which they will be addressed.
 Through its well-developed implementation framework and monitoring mechanism for successful implementation of the Montreal Protocol, India facilitates several South Asia and South East Asia Pacific Regions on ODS Trade Related Issues, equipment and training support in setting up units for Recovery/Recycling of ODSs and other related issues concerning implementation of the Montreal Protocol. Such initiatives will be continued during the year 2016.

Atlas Project ID: 00035901
 Atlas Output ID: 00052931
 Local PAC meeting date: 7 Nov 2008
 Start date: April 2006
 End Date: March 2016
 Implementation modality: NIM

2016 AWP budget: USD 51,920
 - Regular: USD NIL
 - Donor: (MLF) USD 51,920
 o Government: USD NIL
 o In-kind Contributions (GEF): NIL

Project Expenditure in US\$

| Total Project Budget | 2006 | 2007 | 2008 | 2009 | 2010 | Exp. 2011 | Exp. 2012 | Exp. 2013 | Exp. 2014 | Exp. 2015 | Budget 2016 |
|----------------------|---------|---------|---------|---------|---------|-----------|-----------|-----------|-----------|-----------|-------------|
| 1,866,150 | 165,633 | 163,834 | 171,518 | 192,883 | 190,077 | 181,071 | 156,662 | 169,488 | 232,875 | 190,189 | 51,920 |

Agreed by (Implementing Partner), MoEF&CC:

Agreed by UNDP: 

Jaco Cilliers
Country Director

1. ANNUAL WORK PLAN - YEAR: 2016

| EXPECTED OUTPUTS And baseline, associated indicators and annual targets | PLANNED ACTIVITIES List activity results and associated actions | TIMEFRAME | | | | PLANNED BUDGET | | | RESPONSIBLE PARTY |
|--|--|-----------|----|----|----|----------------|-------------------------|--------------------------|-------------------|
| | | Q1 | Q2 | Q3 | Q4 | Funding Source | Budget Description | Amount (US \$) | |
| Output 1 Strengthening of Institutional Capacities for Implementing and sustaining Ozone Depleting Substance (ODS) Phase-out activities including HCFCs Baseline 0 Indicator: HPMP stage II plan submitted to EXCOM | <p>1. Activity Result</p> <ul style="list-style-type: none"> Support activities related to HPMP Stage I and Stage II Ozone Cell to register producers and consumers of ODS, especially HCFCs. Ozone Cell to examine requests from enterprises and make recommendations for issue of licenses to Directorate General of Foreign Trade (DGFT), Ministry of Commerce and Industry for export and import of ODS based equipment/appliances in accordance with Ozone Depleting Substances (Regulation and Control) Amendment Rules, 2014. Ozone Cell to facilitate enforcement of the provisions of the ODS (Regulation and Control) Rules, especially relating to HCFCs. | March | | | | 10009 | 71405 73105 75115 | 10,000 5,000 2,000 | MOEF&CC |
| Target 1 - Supported development of HPMP stage II phase out plan | | | | | | | | | |

| | | | | | | | | |
|---|---|-------------------|--|--|--------------|--|--|--|
| <p>Output 2</p> <p>Policy and regulations related to control of production and consumption and supply of ODSs including HCFCs</p> <p>Baseline - 20</p> <p>Indicator: Payment made to another 10 ODS phase out projects</p> <p>Target 1- Phase out of HCFC as per HPMP Plan 1 achieved</p> | <p>2. Activity Result</p> <ul style="list-style-type: none"> Ozone Cell to support implementation of fiscal incentives to all ODS phase-out projects including for HCFCs. Ozone Cell to support provision of licenses to all producers of HCFCs in accordance with Ozone Depleting Substances (Regulation and Control) Amendment Rules, 2014. Ozone Cell to consider requests for import of HCFC-141b, HCFC 142b, HCFC 123, HCFC-124 and other substitutes for ODS and recommend eligible cases to DGFT for issuance of license in accordance with Ozone Depleting Substances (Regulation and Control) Amendment Rules, 2014. Ozone Cell to support implementation of other trade provisions in line with Montreal Protocol requirements. | <p>Marc h</p> | | | <p>10009</p> | <p>71405</p> <p>73105</p> <p>75115</p> | <p>3,000</p> <p>5,000</p> <p>2,000</p> | |
| <p>Output 3</p> <p>Compliance with Article 7 and CP progress data reporting for 2015 in 2016.</p> <p>Baseline - 0</p> <p>Indicator: Report submitted to MLF</p> <p>Target – Sector consumption data prepared and submitted to MLF secretariat</p> | <p>3. Activity Result</p> <ul style="list-style-type: none"> Collection, analysis and processing of data on production import, export of ODS for submitting to the Ozone Secretariat under Article 7 of the Protocol for 2015. Submission of sectoral consumption data of ODS to the MLF Secretariat along with the progress report of the implementation of the CP for 2015. | <p>Marc h</p> | | | <p>10009</p> | <p>71405</p> <p>73105</p> | <p>2,000</p> <p>2,000</p> | |

| | | | | | | | | | |
|--|--|-------|--|--|--|-------|----------------------------------|--------------------------------|--|
| <p>Output 4 Participation in Montreal Protocol meetings to contribute in policy decisions and those relating to implementation of HPMP. Baseline - 0 Indicator: Meeting reports finalised Target – Participate in 2 EXCOM and 2 OEWG in the year</p> | <p>4. Activity Result</p> <ul style="list-style-type: none"> Participate in Meetings of the Ex-Com of the MLF during the year 2016. Participate in the Meetings of the Parties (MOP) and Open Ended Working Group (OEWG) and other related Montreal Protocol meetings in 2016. Participate in Joint Network meeting of South Asia and South East Asia of National Ozone officers and other related workshops in 2016. | March | | | | 10009 | 71600 | 3,000 | |
| <p>Output 5 Enhancing awareness among stakeholders on national compliance relating to ODS phase-out including HCFCs Baseline - 0 Indicator: Newsletters published, Ozone day celebrated Target – Awareness created in sector</p> | <p>5. Activity Result</p> <ul style="list-style-type: none"> Publication of six issues of newsletter International Ozone Day celebrations Publication of "India's Success Story" for the year 2016, posters, stickers etc. Print and electronic media campaign Stakeholders workshops for implementation of HCFC phase-out projects Assistance to network countries in the region in training new National Ozone officers on implementation of Montreal Protocol activities, as found necessary. Meeting with industry stakeholders and implementing agencies to review implementation of HPMP and ODS phase-out activities. | March | | | | 1 | 75115 72510 63405 73105 | 5,320 500 6,000 5,000 | |
| TOTAL | | | | | | | | 50,820 | |
| | | | | | | | | 500 | |
| | | | | | | | | 500 | |
| | | | | | | | | 100 | |
| | | | | | | | | - | |
| | | | | | | | | 51,920 | |
| | | | | | | | | 51,920 | |

A. Framework

(Include all monitoring and evaluation activities/events)

Project ID: 00052931 Project Title: Institutional Strengthening Project (ISP)

| Expected Results (Outcomes & Outputs) | Indicators | Baseline | Targets | Data Collection Plan | | | Resources (\$) | Risks and Assumptions |
|---|---|--|--|--|---|--|---|---|
| | | | | Source/Method of Collection | Schedule/Frequency | Responsible Staff | | |
| Obtained from the CPAP and project Results Frameworks) | Obtained from the CPAP and project Results Frameworks) | At the project start date | At the project end date | Specific publication, evaluation, survey, field observation, interviews, etc | Monthly, quarterly, annually, etc | Staff member responsible for collecting and reporting data | Estimated cost of collecting and reporting data | Any risks or assumptions concerning data collection / Means of Verification |
| CPAP Outcome: Protection of the Ozone Layer depletion resulting in Environmental and Sustainable Development | | | | | | | | |
| CPAP Output: Project Output 1: Strengthening of Institutional Capacities for Implementing and sustaining ODS Phase-out activities including HCFCs Project Output 2: Policy and regulations related to control of production and consumption and supply of ODSs including HCFCs Project Output 3: Compliance with Article 7 and CP progress data reporting for 2015 in 2016. Project Output 4: Participation in Montreal Protocol meetings to contribute in policy decisions and those relating to implementation of HPMP. Project Output 5: Enhancing awareness among stakeholders on national compliance relating to ODS phase-out including HCFCs | HPMP stage II plan submitted to EXCOM Payment made to another 10 ODS phase out projects Report submitted to MLF Meeting reports finalised Newsletters published, Ozone day celebrated | 0 20 0 0 0 | 1 30 1 6 6 | Survey and stakeholder consultation Verification by external consultant Data collection and compilation BTOR Newsletters | Once As and when Quarterly As and when meeting organised bimonthly | HPMP Project. ISP project staff to support | | No risk |

B. Monitoring and Evaluation Plan

Monitoring Plan (field visits are made by the project team for collection of data relating to import and export of ODS from DGCS). Stakeholder's consultative meetings are held at Delhi with Ozone cell to monitor the project progress. In addition, meetings are also held with all the implementing agencies to monitor progress.

| Monitoring Visit | Time Frame | | | | By whom | Place | Purpose | Expected Outcome | Resources |
|------------------|------------|-----|-----|-----|--------------|---------|---|---|-----------|
| | Q 1 | Q 2 | Q 3 | Q 4 | | | | | |
| Field Visit 1 | ✓ | | | | Project team | Kolkata | Collection of data relating to import and export of ODS from DGCS | Compliance with Article 7 and CP progress data reporting. | |
| | | | | | | | | | |

Evaluation Plan

| Evaluations/Assessments/ Reviews Planned for this year | Time Frame | | | | By whom (External Internal) | Purpose and how the evaluation/assessment/reviews results & Recommendation will be utilized | Resources |
|--|------------|----|----|----|-----------------------------|--|-----------|
| | Q1 | Q2 | Q3 | Q4 | | | |
| Evaluation/Assessment 1 | ✓ | | | | Internal | Quarterly review meetings are held with stakeholders to ensure effective implementation of the Montreal Protocol and ODS phase-out activities. | |
| | | | | | | | |

V. Management Arrangements

The management and fund flow arrangements will remain same as specified in approved project.

VI. Planning, Monitoring and Reporting

The project will follow the following planning, monitoring and reporting cycle during the year.

| Timeline/Target Date | Activity | Primary Responsibility |
|----------------------|---|---------------------------------------|
| 25 January 2016 | Submit final Annual Progress Report 2015 to UNDP | Project Director/ Project Manager |
| 07 April 2016 | Quarterly Progress Reports, including: | Project Manager /Program Officer, M&E |
| 07 July 2016 | a) Report on project progress and financial delivery (FACE forms) | |
| 07 October 2016 | | |
| 07 January 2017 | | |
| May/October 2016 | Inter-agency meetings | Project Director/ Project Manager |
| 30 November 2016 | IRRF, IWP and ROAR updates | M&E and Program Units |

ANNEXES

- Annex 1: Cost sharing agreements signed with donors/government (if any)
Annex 2: Project cooperation agreement signed with NGOs (if any)
Annex 3: Agreements between the Implementing Partner and Responsible Parties (Government entities, NGOs, etc), if any.